



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Li YAO et al.

Confirmation No.: 4499

Serial No.: 10/689,043

Art Unit: To be assigned

Filed: October 21, 2003

Examiner: To be assigned

For: COMPOSITIONS FOR CHEMICAL,  
MECHANICAL, PLANARIZATION OF  
TANTALUM AND TANTALUM NITRIDE

Attorney Docket No.: 60937-0151-US

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

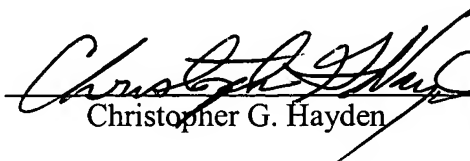
Dear Sir:

Pursuant to applicants' duty of disclosure under 37C.F.R. 1.56, attached is a PTO-1449 citing the fifty-three (53) references some of which are noted in the parent application or are discussed in the instant specification. None of references are enclosed as they were all cited by either the Applicants or the Examiner in the parent application, of which the present application is a continuation-in-part, and those discussed in the current application are all U.S. references.

No additional fees are believed due, however, should it be deemed fees or credits are due in this matter, the Examiner is authorized to charge/credit Morgan, Lewis & Bockius LLP Deposit Account No. 50-0310.

Respectfully submitted,

Date March 19, 2004

  
Christopher G. Hayden 44,750  
(Reg. No.)

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Washington, D.C. 20004  
202-739-3001 (facsimile)



**LIST OF REFERENCES CITED BY APPLICANT**  
(Use several sheets if necessary)

ATTY DOCKET NO.

060937-0151

APPLICATION NO

10/689043

APPLICANT

Li YAO et al.

FILING DATE

October 21, 2003

GROUP

1765

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A01	3,137,600	6/1964	Margulies et al.			
	A02	3,385,682	5/1968	Lowen			
	A03	3,410,802	11/1968	Radimer et al.			
	A04	3,592,773	7/1971	Muller			
	A05	3,668,131	6/1972	Banush et al.			
	A06	T105,402	5/1985	Basi et al.			
	A07	4,556,449	12/1985	Nelson			
	A08	4,678,541	7/1987	Tytgat et al.			
	A09	4,724,042	1/1988	Sherman			
	A10	5,225,034	7/1993	Yu et al.			
	A11	5,328,553	7/1994	Poon			
	A12	5,340,370	8/1994	Cadien et al.			
	A13	5,354,490	10/1994	Yu et al.			
	A14	5,527,423	6/1996	Neville et al.			
	A15	5,575,837	11/1996	Kodama et al.			
	A16	5,726,099	3/1998	Jaso			
	A17	5,735,963	4/1998	Obeng			
	A18	5,773,364	6/1998	Parkas et al.			
	A19	5,783,489	7/1998	Kaufman et al.			
	A20	5,858,813	1/1999	Scherber et al.			
	A21	5,866,031	2/1999	Carpio et al.			
	A22	5,981,454	11/1999	Small			
	A23	6,030,932	2/2000	Leon et al.			
	A24	6,248,704	6/2001	Small et al.			
	A25	6,251,150	6/2001	Small et al.			
	A26	6,261,745	7/2001	Tanabe et al.			
	A27	6,276,372	8/2001	Lee			
	A28	6,313,039	11/2001	Small et al.			
	A29	6,367,486	4/2002	Lee et al.			
	A30	6,268,323	7/2001	Honda et al.			
	A31	6,326,130	12/2001	Schwartzkopf et al.			
	A32	6,372,050	4/2002	Honda et al.			
	A33	6,000,111	12/1999	Lee			

	A34	6,110,881	8/2000	Lee et al.			
	A35	6,117,783	9/2000	Small et al.			
	A36	6,121,217	9/2000	Lee			
	A37	6,140,287	10/2000	Lee			
	A38	6,221,818	4/2001	Lee			
	A39	6,187,730	2/2001	Lee			
	A40	6,235,693	5/2001	Cheng et al.			
	A41	6,242,400	6/2001	Lee			
	A42	5,891,205	4/1999	Picardi et al.			
	A43	5,902,780	5/1999	Lee			
	A44	5,912,835	6/1999	Katoh			
	A45	5,928,430	7/1999	Ward et al.			
	A46	5,417,877	5/1995	Ward			
	A47	5,997,658	12/1999	Peters et al.			
	A48	6,156,661	12/2000	Small			
	A49						
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	A51						

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B01	52081692	7/1977	Japan				
	B02	03-256665	11/1991	Japan				
	B03							
	B04							
	B05							

### OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	C01	"Redox Properties of Hydroxylamines Part 1 Inorganic Reactions" by Van der Puy, pp 1-10, Buffalo Research Laboratory (no date available)
	C02	"Redox Propertise of Hydroxylamines Part 2 Organic Reactions" by Van der Puy, pp 1-13, Buffalo Research Laboratory (no date available)
	C03	"Follow the Oximes Road to Success" Allied Signa, no date available.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.